

## DEGREE OF A HOLOMORPHIC MAP BETWEEN UNIT BALLS FROM $\mathbb{C}^2$ TO $\mathbb{C}^n$

FRANCINE MEYLAN

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ABSTRACT. Let  $f$  be a rational proper holomorphic map between the unit ball in  $\mathbb{C}^2$  and the unit ball in  $\mathbb{C}^n$ . Write

$$f = \frac{(p_1, \dots, p_n)}{q},$$

where  $p_j$ ,  $j = 1, \dots, n$ , and  $q$  are holomorphic polynomials, with  $(p_1, \dots, p_n, q) = 1$ . Recall that the degree of  $f$  is defined by

$$\deg f = \max\{\deg(p_j)_{j=1, \dots, n}, \deg q\}.$$

In this paper, we give a bound estimate for the degree of  $f$ , improving the bound given by Forstnerič (1989).

### 1. INTRODUCTION

Let  $B_n = \{z \in \mathbb{C}^n \mid |z| < 1\}$  be the unit ball in  $\mathbb{C}^n$ . It is well known ([Ru80]) that the automorphisms of  $B_n$  (that is, the set of biholomorphic maps from  $B_n$  to  $B_n$ ) are linear fractional, generated by the unitary linear maps and the maps  $\varphi_a$  given by

$$(1.1) \quad \varphi_a(z) = \frac{a - Pz - \sqrt{(1 - |a|^2)}Qz}{1 - \langle z, a \rangle},$$

where  $a \in B_n$ ,  $Pz = \frac{\langle z, a \rangle}{|a|^2}a$ ,  $Qz = z - Pz$ . (Here  $\langle \cdot, \cdot \rangle$  denotes the usual scalar product in  $\mathbb{C}^n$ .)

In [A74] and [A77], Alexander proved that any proper holomorphic map from  $B_n$  to  $B_n$ ,  $n > 1$ , is an automorphism, and thus is linear fractional.

In this paper, we are concerned with the set of *rational* proper holomorphic maps from  $B_N$  to  $B_M$ , and more precisely with their degree. In [We79], Webster showed that if  $f: B_n \rightarrow B_{n+1}$ ,  $n \geq 3$ , is a rational proper holomorphic map, then  $f$  is linear fractional. We recall the following definition.

**Definition 1.1.** Let  $f, g: B_N \rightarrow B_M$  be two holomorphic maps. We say that  $f$  and  $g$  are *equivalent* if there exist  $\sigma$ , an automorphism of  $B_N$ , and  $\tau$ , an automorphism of  $B_M$ , such that  $f = \tau \circ g \circ \sigma$ .

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In [Fa82], Faran showed that if  $f: B_2 \rightarrow B_3$  is a rational proper holomorphic map, then  $f$  is equivalent to one of the following maps.

- (i)  $(z, w) \rightarrow (z^3, w^3, \sqrt{3}zw)$ ;
- (ii)  $(z, w) \rightarrow (z, zw, w^2)$ ;
- (iii)  $(z, w) \rightarrow (z^2, \sqrt{2}zw, w^2)$ ;
- (iiii)  $(z, w) \rightarrow (z, w, 0)$ .

Furthermore, in [Fa86], Faran showed that if  $f: B_n \rightarrow B_N$ ,  $N < 2n - 1$ , is a rational proper holomorphic map, then  $f$  is linear fractional. See also [Hu99], [Hu03]. Moreover, Huang-Ji in [HJ01] showed that if  $f: B_n \rightarrow B_{2n-1}$ ,  $n \geq 3$ , is a rational proper holomorphic map, then  $f$  is equivalent to one of the following maps.

- (i)  $L(z_1, \dots, z_n) = (z_1, \dots, z_n, 0, \dots, 0)$ ;
- (ii)  $W(z_1, \dots, z_n) = (z_1, \dots, z_{n-1}, z_n z_1, z_n z_2, \dots, z_n z_n)$ .

Let  $F$  be a rational holomorphic map from  $\mathbb{C}^N$  into  $\mathbb{C}^M$ . We write

$$(1.2) \quad F = \frac{(P_1, \dots, P_M)}{R},$$

where  $P_j, j = 1, \dots, M$ , and  $R$  are holomorphic polynomials and  $(P_1, \dots, P_M, R) = 1$ . Following Forstnerič [Fo89] and Huang-Ji [HJ01], we give the following definition.

**Definition 1.2.** Let  $F = \frac{(P_1, \dots, P_M)}{R}$  be as above. The degree of  $F$ , called  $\deg F$ , is the number

$$(1.3) \quad \deg F = \max\{\deg(P_j)_{j=1, \dots, M}, \deg R\}.$$

*Remark 1.3.* It is easy to show (Lemma 2.1) that if  $f, g: B_N \rightarrow B_M$  are equivalent (in the sense of Definition 1.1) and rational, then  $\deg f = \deg g$ .

Suppose now that  $f: B_N \rightarrow B_M$  is a rational proper holomorphic map. In [Fo89] Forstnerič gave a rough bound estimate on the degree of such a map  $f$ . More precisely, he showed that if  $N = 2$ , then the degree of  $f$  is bounded by  $M^2(M-1)$ .

We address here the following question.

- (\*) *Let  $f: B_2 \rightarrow B_n$  be a rational proper holomorphic map. What is the maximum degree possible as a function of  $n$ ?*

This problem is motivated by a question of X. Huang [Hu01] regarding a conjecture of D'Angelo [DA93], stating that the maximum degree of such a map is  $2n-3$ . Our main result is the following.

**Theorem 1.4.** *Let  $f: B_2 \rightarrow B_n$  be a rational proper holomorphic map between  $B_2$  and  $B_n$ . Then*

$$(1.4) \quad \deg f \leq \frac{n(n-1)}{2}.$$

Note that, using Remark 1.3, the theorem follows from [Fa82] and [CS90] in the case where  $n = 3$ . (The case  $n = 2$  follows from [A74] and [A77].)

The paper is organized as follows. In section 2, we introduce and explain the necessary notation and terminology needed throughout the paper. In section 3, we discuss invariants associated to holomorphic maps. Theorem 1.4 will be proved in section 4. I would like to thank Dmitri Zaitsev for many helpful discussions and comments on this paper. I am also grateful to the referee for several useful remarks.

## 2. PRELIMINARIES

In this section we recall a lemma of [HJ01] which, roughly speaking, says that if we have a *uniform* bound estimate of the degree of a rational holomorphic map *on the Segre variety at any point of the Lewy hypersurface in  $\mathbb{C}^N$* , then we have the same bound estimate of the degree of  $f$  on  $\mathbb{C}^N$ .

We have the following lemma whose proof is left to the reader.

**Lemma 2.1.** *Let  $F$  and  $G$  be rational holomorphic mappings from  $\mathbb{C}^N$  to  $\mathbb{C}^M$  such that  $F = \tau \circ G \circ \sigma$ , with  $\sigma$  and  $\tau$  linear fractional. Then  $\deg F = \deg G$ .*

Let  $f: B_2 \rightarrow B_n$  be a rational proper holomorphic map between the unit ball in  $\mathbb{C}^2$  and the unit ball in  $\mathbb{C}^n$ . By a result of Cima-Suffridge [CS90],  $f$  extends holomorphically up to the boundary. We still write  $f$  for the induced holomorphic nonconstant map from  $S_2$  to  $S_n$ , where  $S_2$  (resp.  $S_n$ ) is the unit sphere in  $\mathbb{C}^2$  (resp. in  $\mathbb{C}^n$ ).

Let  $H_N$  be the Siegel upper-half space

$$(2.1) \quad H_N = \{(z_1, \dots, z_N) \in \mathbb{C}^N \mid \operatorname{Im} z_N > \sum_{j=1}^{N-1} |z_j|^2\}.$$

It is well known [Ru80] that the holomorphic rational mapping of degree one,  $F = (F_1, \dots, F_N)$ , from  $\mathbb{C}^N$  to  $\mathbb{C}^N$  given by

$$(2.2) \quad F_j(z) = \frac{iz_j}{1 - z_N}, \quad j = 1, \dots, N-1, \quad F_N(z) = \frac{i(z_N + 1)}{1 - z_N},$$

where  $z = (z_1, \dots, z_N)$ , maps  $B_N$  biholomorphically onto  $H_N$ , and takes the unit sphere minus the point  $(0, 0, \dots, 1)$  bijectively to the Lewy hypersurface, denoted by  $\partial H_N$ , given by

$$(2.3) \quad \operatorname{Im} z_N = \sum_{j=1}^{N-1} |z_j|^2.$$

Let  $p = (p_1, \dots, p_N) = (\tilde{p}, p_N) \in \partial H_N$ . Let  $\sigma_p$  be the rational biholomorphic map of  $H_N$  onto  $H_N$  given by

$$(2.4) \quad \sigma_p(z_1, \dots, z_N) = \sigma_p(\tilde{z}, z_N) = (\tilde{z} + \tilde{p}, z_N + p_N + 2i\langle \tilde{z}, \tilde{p} \rangle),$$

mapping  $\partial H_N$  into  $\partial H_N$ , and sending 0 to  $p$ .

*Remark 2.2.* Using Lemma 2.1, (2.1), (2.2), (2.3) and (2.4), we see that  $f: B_2 \rightarrow B_n$  induces a (germ at 0 of a) nonconstant *rational* holomorphic map of *same degree*, called  $h$ , defined on  $U \cap \overline{H_2}$ , where  $U$  is an open neighborhood of 0 in  $\mathbb{C}^2$ , mapping  $U \cap H_2$  into  $H_n$ ,  $U \cap \partial H_2$  into  $\partial H_n$ , with  $h(0) = 0$ . We write

$$(2.5) \quad h: \partial H_2 \longrightarrow \partial H_n.$$

For  $q = (\tilde{q}, q_N)$  in  $\mathbb{C}^N$ , we recall that the family of Segre varieties of  $\partial H_N$  is the family of complex submanifolds given by

$$(2.6) \quad Q_q = \{(\zeta, \tau) \in \mathbb{C}^{N-1} \times \mathbb{C} \mid \tau = \overline{q_N} + 2i\langle \zeta, \tilde{q} \rangle\}.$$

Note that if  $q = (q_1, q_2) \in \mathbb{C}^2$ , the family of Segre varieties is given by

$$(2.7) \quad Q_q = \{(\zeta, \tau) \in \mathbb{C}^2 \mid \tau = \overline{q_2} + 2i\zeta \overline{q_1}\}.$$

**Definition 2.3.** Let  $F$  be a rational holomorphic map from  $\mathbb{C}^N$  to  $\mathbb{C}^M$ , and let  $q = (\tilde{q}, q_N)$  in  $\mathbb{C}^N$ . The degree of  $F|_{Q_q}$  is the degree of the rational holomorphic mapping in  $\zeta \in \mathbb{C}^{N-1}$

$$(2.8) \quad F|_{Q_q}(\zeta) = F(\zeta, \overline{q_N} + 2i\langle \zeta, \tilde{q} \rangle).$$

We recall the following lemma which is due to Huang-Ji [HJ01].

**Lemma 2.4** ([HJ01]). *Let  $F = \frac{(P_1, \dots, P_M)}{R}$  be a rational holomorphic map from  $\mathbb{C}^N$  to  $\mathbb{C}^M$ , where  $P_j, R$  are holomorphic polynomials with  $(P_1, \dots, P_M, R) = 1$  ( $M > N > 1$ ). Assume that for each  $q \in \partial H_N$  close to the origin,  $\deg(F|_{Q_q}) \leq k$ , with  $k > 0$  a fixed integer. Then*

$$\deg F \leq k.$$

### 3. INVARIANTS ASSOCIATED TO HOLOMORPHIC MAPS

Let  $M \subset \mathbb{C}^N$  and  $M' \subset \mathbb{C}^{N'}$  be real-analytic hypersurfaces through  $p$  and  $p'$  respectively. Let  $\rho(z, \bar{z})$  (respectively  $\rho'(z', \bar{z}')$ ) be a local real-analytic defining function for  $M$  near  $p$  (respectively for  $M'$  near  $p'$ ). We recall some known facts and notations. For sufficiently small neighborhoods  $U \subset \mathbb{C}^N$  (respectively  $U' \subset \mathbb{C}^{N'}$ ) of  $p$  (respectively  $p'$ ), the family of Segre varieties of  $M$  at  $w \in U$  (respectively  $M'$  at  $w' \in U'$ ) is the family of complex submanifolds given by

$$(3.1) \quad Q_w = \{z \in U \mid \rho(z, \bar{w}) = 0\},$$

and

$$(3.2) \quad Q'_{w'} = \{z' \in U' \mid \rho'(z', \bar{w}') = 0\}.$$

Let  $F: \Omega \subset \mathbb{C}^N \rightarrow \Omega' \subset \mathbb{C}^{N'}$  be a holomorphic map with  $M \subset \Omega$ ,  $F(M) \subset M'$  and  $F(p) = p'$ . For  $w \in M \cap U \cap \Omega$  and  $w' \in U' \cap \Omega'$ , we set, following Zaitsev [Z99],

$$(3.3) \quad r^2 F(Q_w) := \bigcap \{Q'_{w'} \mid F(\Omega \cap Q_w) \subset Q'_{w'}\}.$$

**Definition 3.1.** Let  $F: M \rightarrow M'$  as above. The number given by

$$(3.4) \quad \nu(F) := \max_{w \in M} \dim_{\mathbb{C}} r^2 F(Q_w)$$

is called the degeneracy of  $F$  on  $M$ .

We have the following proposition whose proof is left to the reader.

**Proposition 3.2.** *The number  $\nu(F)$  given by (3.4) has the following properties.*

- (1)  $\nu(F)$  is independent of the choice of holomorphic coordinates.
- (2)  $\nu(F) \leq N' - 1$ .

We now return to the map given by (2.5),  $h: \partial H_2 \rightarrow \partial H_n$ . For  $q = (q_1, q_2) \in \mathbb{C}^2$ , sufficiently close to 0, we define (in the sense of germs at 0)

$$(3.5) \quad v_k(\bar{q}, \zeta) := \frac{\partial^k}{\partial \zeta^k} h(\zeta, \overline{q_2} + 2i\zeta \overline{q_1}), \quad k \in \mathbb{N}.$$

We have the following lemma.

**Lemma 3.3.** *Let  $q \in \mathbb{C}^2$  be fixed, sufficiently close to 0. Let  $v_k(\bar{q}, \zeta)$  be given by (3.5) and let  $u > 0$  be a fixed integer. Assume that  $\text{rk}_{\mathbb{C}}\{v_1(\bar{q}, \zeta), \dots, v_u(\bar{q}, \zeta)\} = l$ . Then*

$$(3.6) \quad \text{rk}_{\mathbb{C}}\{v_1(\bar{q}, \zeta), v_2(\bar{q}, \zeta), \dots, v_l(\bar{q}, \zeta)\} = l.$$

*Proof.* We proceed by induction on

$$l = \text{rk}_{\mathbb{C}}\{v_1(\bar{q}, \zeta), \dots, v_u(\bar{q}, \zeta)\}.$$

For  $l = 1$ , if  $v_1(\bar{q}, \zeta) \equiv 0$ , then by definition  $v_j(\bar{q}, \zeta) \equiv 0$ , for every  $j = 1, \dots, u$ , which is a contradiction.

Assume that the lemma holds for  $\tilde{l} < l$ , and that, by contradiction,

$$\text{rk}_{\mathbb{C}}\{v_1(\bar{q}, \zeta), v_2(\bar{q}, \zeta), \dots, v_l(\bar{q}, \zeta)\} = \mu < l.$$

By the induction hypothesis,

$$\text{rk}_{\mathbb{C}}\{v_1(\bar{q}, \zeta), v_2(\bar{q}, \zeta), \dots, v_\mu(\bar{q}, \zeta)\} = \mu.$$

This implies that there exist  $\zeta_0$  near 0 and an open neighborhood  $V$  of  $\zeta_0$ , such that we can write

$$(3.7) \quad v_{\mu+1}(\bar{q}, \zeta) = \sum_{j=1}^{\mu} a_j(\zeta) v_j(\bar{q}, \zeta), \quad \zeta \in V,$$

with  $a_j(\zeta)$ ,  $j = 1, \dots, \mu$ , smooth. Differentiating (3.7)  $u - (\mu + 1)$  times, we obtain that

$$\text{rk}_{\mathbb{C}}\{v_1(\bar{q}, \zeta), \dots, v_u(\bar{q}, \zeta)\} = \mu,$$

which is a contradiction. This completes the proof of the lemma.  $\square$

*Remark 3.4.* Let  $q = (q_1, q_2) \in \partial H_2$ . We would like to mention that  $h$  is  $(n - 1)$ -nondegenerate at  $q$ , in the sense of Lamel [La01], if and only if

$$\text{rk}_{\mathbb{C}}\{v_1(\bar{q}, q_1), v_2(\bar{q}, q_1), \dots, v_{n-1}(\bar{q}, q_1)\} = n - 1.$$

**Proposition 3.5.** *Let  $h: \partial H_2 \rightarrow \partial H_n$  as above. Then the following are equivalent.*

- (1) *There exists  $q \in \partial H_2$  such that  $h$  is  $(n - 1)$ -nondegenerate at the point  $q$ .*
- (2)  *$\nu(h) = n - 1$ .*

*Proof.* We first show that (1) implies (2). Let  $q = (q_1, q_2) \in \partial H_2$  satisfying (1). Consider (in the sense of germs at 0)

$$(3.8) \quad \{q' \in \mathbb{C}^n \mid h(Q_q) \subset Q'_{q'}\},$$

where  $Q'_{q'}$  is the Segre variety of  $\partial H_n$  at  $q'$ .

Using (2.6), (2.7), and putting  $h = (\tilde{h}, h_n)$ , (3.8) yields

$$(3.9) \quad h_n(\zeta, \overline{q_2} + 2i\zeta \overline{q_1}) = \overline{q'_n} + 2i\langle \tilde{h}(\zeta, \overline{q_2} + 2i\zeta \overline{q_1}), \tilde{q}' \rangle.$$

Differentiating (3.9)  $k$  times,  $k \geq 1$ , with respect to  $\zeta$ , we obtain that  $v_k(\bar{q}, \zeta)$  belongs to the hyperplane in  $\mathbb{C}^n$  given by

$$(3.10) \quad \tau' = 2i\langle \zeta', \tilde{q}' \rangle,$$

and therefore, by assumption, we obtain  $\nu(h) = n - 1$ . This completes the proof that (1) implies (2).

To show that (2) implies (1), we assume by contradiction that, for every  $q \in \partial H_2$ ,

$$\text{rk}_{\mathbb{C}}\{v_1(\bar{q}, q_1), \dots, v_{n-1}(\bar{q}, q_1)\} < n - 1.$$

Let  $q_0 \in \partial H_2$  such that

$$\text{rk}_{\mathbb{C}}\{v_1(\bar{q}_0, q_{01}), \dots, v_{n-1}(\bar{q}_0, q_{01})\} = \max_{q \in \partial H_2} \text{rk}_{\mathbb{C}}\{v_1(\bar{q}, q_1), \dots, v_{n-1}(\bar{q}, q_1)\}.$$

Let

$$l := \text{rk}_{\mathbb{C}}\{v_1(\bar{q}_0, q_{01}), \dots, v_{n-1}(\bar{q}_0, q_{01})\}.$$

The uniqueness theorem implies that

$$(3.11) \quad \operatorname{rk}_{\mathbb{C}}\{v_1(\bar{q}_0, \zeta), \dots, v_{n-1}(\bar{q}_0, \zeta)\} = l.$$

By Lemma 3.3, we obtain

$$(3.12) \quad \operatorname{rk}_{\mathbb{C}}\{v_1(\bar{q}_0, \zeta), v_2(\bar{q}_0, \zeta), \dots, v_l(\bar{q}_0, \zeta)\} = l.$$

Using (3.11), (3.12) and the uniqueness theorem, we obtain that there exists a nonempty open set  $U$  of  $\partial H_2$  such that, for every  $p \in U$ ,

$$(3.13) \quad \begin{aligned} & \operatorname{rk}_{\mathbb{C}}\{v_1(\bar{p}, p_1), v_2(\bar{p}, p_1), \dots, v_l(\bar{p}, p_1)\} \\ &= \operatorname{rk}_{\mathbb{C}}\{v_1(\bar{p}, p_1), v_2(\bar{p}, p_1), \dots, v_{n-1}(\bar{p}, p_1)\} = l. \end{aligned}$$

Using (3.13), we obtain that for  $j \in \mathbb{N}$ ,

$$(3.14) \quad v_j(\bar{p}, \zeta) \in \operatorname{span}_{\mathbb{C}}\{v_1(\bar{p}, \zeta), v_2(\bar{p}, \zeta), \dots, v_l(\bar{p}, \zeta)\}.$$

Using (3.5), (3.14) and the Taylor expansion of  $f(Q_p)$  at  $h(p_1, \overline{p_2} + 2ip_1\overline{p_1})$ , we obtain

$$(3.15) \quad h(Q_p) \subset \operatorname{span}_{\mathbb{C}}\{v_1(\bar{p}, p_1), v_2(\bar{p}, p_1), \dots, v_l(\bar{p}, p_1)\}.$$

Since the Segre varieties of  $\partial H_n$  are hyperplanes in  $\mathbb{C}^n$  and  $h(Q_p) \subset Q'_{h(p)}$ , we conclude, using (3.15), that there exists  $q'$  close to  $h(p)$ ,  $q' \neq h(p)$ , such that  $f(Q_p) \subset Q'_{q'}$ . This contradicts (2). The proof is then complete.  $\square$

#### 4. PROOF OF THEOREM 1.4

In this section we prove the following theorem whose proof will be based on Lemma 2.1 and Lemma 2.4.

**Theorem 4.1.** *Let  $f: B_2 \rightarrow B_n$  be a rational proper holomorphic map between  $B_2$  and  $B_n$ . If  $\nu(f) \leq n - k$ ,  $1 \leq k \leq n - 1$ , then*

$$(4.1) \quad \deg f \leq \frac{(n - k + 1)(n - k)}{2}.$$

*Proof.* Let  $h: \partial H_2 \rightarrow \partial H_n$  be the nonconstant rational holomorphic map induced by  $f$ , of same degree. (See Remark 2.2.)

We first assume that  $\nu(h) = n - 1$ . By Proposition 3.5, there exists  $q_0 \in \partial H_2$  such that

$$(4.2) \quad \operatorname{rk}_{\mathbb{C}}\{v_1(\bar{q}_0, q_{01}), v_2(\bar{q}_0, q_{01}), \dots, v_{n-1}(\bar{q}_0, q_{01})\} = n - 1.$$

Using (2.4) and [La01], we may assume that  $q_0 = 0$  and  $h(0) = 0$ . We claim that

$$(4.3) \quad \deg(h|_{Q_0}) \leq \frac{n(n-1)}{2}.$$

Assuming the claim, we get, using Lemma 2.1, (2.4) and Proposition 3.2, that

$$(4.4) \quad \deg(h|_{Q_q}) \leq \frac{n(n-1)}{2}$$

for every  $q$  close to 0. Using Lemma 2.4 and Lemma 2.1 we obtain (4.1). It remains then to prove the claim. Let  $q \in Q_0$ . Since  $Q_0 = \{(z, w) \in \mathbb{C}^2 \mid w = 0\}$ , we have

$$(4.5) \quad Q_q = \{(\zeta, \tau) \in \mathbb{C}^2 \mid \tau = 2i\zeta\bar{\zeta}\}.$$

We consider (in the sense of germs at 0)

$$(4.6) \quad A = \{q' \in \mathbb{C}^N \mid h(Q_q) \subset Q'_{q'}\}.$$

Note that  $h(q) \in A$ . Since  $h(0) = 0$ , we have

$$(4.7) \quad A \subset Q'_0.$$

Note that for  $q' = (\tilde{z}', 0) \in Q'_0$ ,

$$(4.8) \quad Q'_{q'} = \{(\zeta', \tau') \in \mathbb{C}^n \mid \tau' = 2i\langle \zeta', \tilde{z}' \rangle\}.$$

Writing  $h = (\tilde{h}, h_n)$ , using (4.5) and (4.8), we get that  $q' \in A$  if and only if

$$(4.9) \quad h_n(\zeta, 2i\zeta \bar{z}) = 2i\langle \tilde{h}(\zeta, 2i\zeta \bar{z}), \tilde{z}' \rangle.$$

Differentiating (4.9)  $k$  times,  $k \geq 1$ , with respect to  $\zeta$ , and putting  $\zeta = 0$ , we obtain that  $v_k(\bar{q}, 0)$  belongs to the hyperplane in  $\mathbb{C}^n$  given by

$$(4.10) \quad \tau' = 2i\langle \zeta', \tilde{z}' \rangle.$$

We define

$$(4.11) \quad v_k(\bar{z}) := v_k(\bar{q}, 0).$$

Note that  $v_k(\bar{z})$ ,  $k = 1, \dots, n-1$ , are polynomials of degree  $k$  in  $\bar{z}$ . Therefore, using (4.2), the fact that  $h(q) \in A$ , (4.10), and Cramer's rule, we obtain that  $h_j(z, 0)$ ,  $j = 1, \dots, n$ , is a quotient of two polynomials of degree at most  $1 + \dots + (n-1) = \frac{n(n-1)}{2}$ . This proves the claim.

Assume now that  $\nu(f) = n - k$ ,  $1 \leq k < n - 1$ . By repeating the arguments given in the proof of Proposition 3.5, we can show that there exists  $q_0 \in \partial H_2$  such that

$$(4.12) \quad \begin{aligned} & \text{rk}_{\mathbb{C}}\{v_1(\bar{q}_0, q_{01}), v_2(\bar{q}_0, q_{01}), \dots, v_{n-k}(\bar{q}_0, q_{01})\} \\ &= \text{rk}_{\mathbb{C}}\{v_1(\bar{q}_0, q_{01}), v_2(\bar{q}_0, q_{01}), \dots, v_{n-1}(\bar{q}_0, q_{01})\} = n - k. \end{aligned}$$

Without loss of generality we may assume that  $q_0 = 0$  and  $h(0) = 0$ . Following the proof of Proposition 3.5, we show that this implies

$$(4.13) \quad h(z, 0) \in \text{span}_{\mathbb{C}}\{v_1(0, 0), \dots, v_{n-k}(0, 0)\}.$$

Using the fact that  $h(q) \in A$ , (4.10) and (4.13), we observe that  $h(z, 0)$  satisfies the following system of equations of rank  $n - 1$ , for  $z$  small enough,

$$(4.14) \quad \begin{cases} v_{j_n}(\bar{z}) = 2i\langle \tilde{v}_j(\bar{z}), \tilde{z}' \rangle, & j = 1, \dots, n - k, \\ 0 = \langle a_s, \tilde{z}' \rangle, & s = 1, \dots, k - 1, \end{cases}$$

where  $a_s \in \mathbb{C}^{n-1}$ ,  $s = 1, \dots, k - 1$ .

Using Cramer's rule again, we then solve (4.14) and obtain that each  $h_j(z, 0)$ ,  $j = 1, \dots, n$ , is a quotient of two polynomials of degree at most  $1 + \dots + (n - k) = \frac{(n - k + 1)(n - k)}{2}$ . Therefore

$$(4.15) \quad \deg(h|_{Q_0}) \leq \frac{(n - k + 1)(n - k)}{2}.$$

As in the first part of the proof, using Lemma 2.4 and Lemma 2.1, we obtain (4.1). The proof is now complete.  $\square$

*Proof of Theorem 1.4.* Theorem 1.4 is a direct consequence of Theorem 4.1.  $\square$

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INSTITUT DE MATHÉMATIQUES, UNIVERSITÉ DE FRIBOURG, 1700 PEROLLES, FRIBOURG, SWITZERLAND

*E-mail address:* francine.meylan@unifr.ch